SEC Form 4	
------------	--

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number:

Estimated average bu

	3235-0287
den	

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b). hours per response 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person' (Check all applicable) theMaven, Inc. [MVEN] Mills Peter B х Director 10% Owner Officer (give title Other (specify below) below) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Last) (First) 01/01/2018 225 LIBERTY STREET, 27TH FLOOR 4. If Amendment, Date of Original Filed (Month/Dav/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) 12/29/2020 х Form filed by One Reporting Persor NEW YORK 10281 NY Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 5. Amount of 6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4) Transaction Code (Instr. 8) Securities Beneficially Owned cution Date, if any (Month/Day/Year) Beneficial Ownership (Month/Day/Year) Following Reported Transaction(s) (Instr. 4) (A) or (D) (Instr. 3 and 4) v Code Amount Price 09/01/2018 Common Stock A 29,763 A \$0.00 140.220 D Common Stock 10/01/2018 A 19.231 A \$0.00 159,451 D Common Stock 01/01/2019 Α 208,333 A \$0.00 367,784 D Common Stock 02/22/2019 М 25.000 A \$0.17 392,784 D 9.659(4) \$0.44 D 02/22/2019 D 383,125 Common Stock F Common Stock 02/06/2020 A 125,000 A \$0.00 508,125 D Common Stock 01/01/2021 Α 166,667 A \$0.00 674,792 D Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) . Number of 6. Date Exercisable and 1. Title of 3. Transaction 3A. Deemed Title and Amount 8. Price of 9. Number of 11. Nature Execution Date, Ownership Conversion Date (Month/Day/Year) Transaction Derivative Derivative Expiration Date (Month/Day/Year) of Securities Derivative derivative of Indirect Underlying Derivative Security Security or Exercise if any (Month/Day/Year) Code (Instr. Securities Security Securities Form: Beneficial Direct (D) or Indirect Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (Instr. 3) Price of Derivative 8) (Instr. 5) Beneficially Ownership (Instr. 3 and 4) Owned (Instr. 4) Following Reported (I) (Instr. 4) Security Amount Transaction(s) (Instr. 4) Date Exercisable Expiration Date Number Code v (A) (D) Title of Shares Options to Commo \$1.95 01/02/2018 A 45.000 03/31/2018(1) 01/01/2028 45,000 \$0.00 45.000 D purchase⁽¹⁾ Stock Series H Convertible Commoi 08/09/2018 08/09/2018 100,000 \$0.33 P 33 \$1,000 33 D Preferred Stock

Explanation of Responses:

\$0.17

\$0.46

1. Vests 25% on 3/31/18, 6/30/18, 9/30/18 and 12/31/18.

2. Vesting is a combined overlay of Time-based, Stock-Price-based, and Stock-Listing-based conditions. Time-based vesting is 1/3 vest after 1 year, with the balance monthly over next 2 years. Stock-Price vesting is a potential downward adjustment to the otherwise Time-based vested shares, where such adjustment is based on the rolling 45-day Volume Weighted Average Price set against specific price targets. Stock Listing vesting means that no otherwise Time-based and Stock Price-based vested shares are actually deemed as vested unless the Issuer's common stock is listed on a national securities exchange registered with the Securities and Exchange Commission under Section 6 of the Securities Exchange Act of 1934.

25.000

241,820(2)

05/16/2014

(3)

3. The ability to exercise is subject to both: i) vesting conditions (2) and ii) the Issuer's Board of Directors approving a requisite increase in authorized shares of common stock, after the date the grant was issued, that would apply to this grant4. Shares sold to cover options exercised via cashless exercise.

4. Shares sold to cover options exercised via cashless exercise

02/22/2019

04/10/2019

5. Not applicable

Options to

purchase Options to

purchase

/s/ Peter Mills

Commo

Stock

Commo

Stock

25,000

241,820

\$0.00

\$0.00

05/16/2019

04/10/2029

** Signature of Reporting Person

02/09/2021 Date

0

241.820

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

м

Α v

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.