SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Smith Douglas Baker					2. Issuer Name and Ticker or Trading Symbol <u>theMaven, Inc.</u> [MVEN]									all app Direc		ng Per	10% O	wner
(Last) (First) (Middle) 200 VESEY ST 24TH FLOOR					3. Date of Earliest Transaction (Month/Day/Year) 11/19/2021								Х	Officer (give title below) Chief Financia			Other (specify below) al Officer	
(Street) NEW YORK NY 10281				4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv Line) X	,					
(City)	(St		Zip)			2001	rition Acc	wiroc		nocod of	or P	Ponofic	nially					
1. Title of Security (Instr. 3) 2. Transact Date (Month/Day				on 2A. Deemed Execution Date,		3. 4. Securities Acquired (A) Transaction Disposed Of (D) (Instr. 3, 4) Solution 5)				red (A) o	r	5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
								Code	v	Amount	(A) o (D)	r Price	;	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)
Common Stock 11/19/20					021		Α		50,000	A	\$ <mark>0</mark> .5	5404	4 50,000			D		
		Tal	ble II -							osed of, convertib				Owne	d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Da		4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		Der Sec (Ins	str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	y	Ownershi Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)
								Date		Expiration		Amount or Number						

Date Exercisable

Expiration Date

Explanation of Responses:

/s/ Douglas Baker Smith

Shares

of

Title

11/23/2021 ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A) (D)