SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Levinsohn Ross						2. Issuer Name and Ticker or Trading Symbol theMaven, Inc. [MVEN]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle) 225 LIBERTY STREET, 27TH FLOOR					3. Date of Earliest Transaction (Month/Day/Year) 08/16/2021								-	Cfficer (give title below) Chief Execut			Other (specify below) tive Officer		
(Street) NEW YC (City)			10281 Zip)		4.	4. If Amendment, Date of Original Filed (Month/Day/Year)						Line) X Form f	or Joint/Group Filing (Check Applicable n filed by One Reporting Person n filed by More than One Reporting son					
		Tab	le I - Nor	n-Deriv	/ative	e Se	curiti	es Ac	quired,	Dis	posed	of, or E	Bene	eficiall	y Owned				
			Date	Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		, Transaction D Code (Instr. 5		Dispose	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)			5. Amou Securitie Benefici Owned F Reporter	es For ally (D) Following (I)		r Indirect I str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amoun	t (A) or)	Price	Transaction(s)		ľ	iiisu. 4)	
Common	Stock			11/2	2/202	1			A		25,0	00	A	\$0.59	0.59 1,270,434 D				
		1	able II -						uired, D s, optior			,			Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Da	Date,	4. Transaction Code (Instr 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title a of Secu Underly Derivati (Instr. 3	ities ing ve Se	curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	e s Illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)
					Code	v	(A)		Date Exercisabl		xpiration ate	Title	or NL	mount umber Shares					
Series H Convertible	\$0.33	08/16/2021			A			200	08/09/201	,	(2)	Commo	¹ 60	06.200	\$1,000	200		D	

Explanation of Responses:

Preferred Stock⁽³⁾

1. As converted from issuer's Series H Convertible Preferred Stock, par value \$0.01 per share (the "Series H Preferred Stock") at a stated value equal to \$1,000 per share. The Series H Preferred Stock converted at the option of the holder, subject to a beneficial ownership limitation of 4.99%, at a rate of 3,031 shares of Common Stock for every share of Series H Convertible Preferred Stock

2. The Series H Convertible Preferred Stock does not expire.

3. Mr. Levinsohn invested \$200,000 into the Heckman Maven Investment Fund, L.P. (the "Fund", an owner of shares of the Series H Preferred Stock), which investment resulted in Mr. Levinsohn beneficially owning 200 shares of Series H Preferred Stock. The Fund transferred to Mr. Levinsohn 200 shares of Series H Preferred Stock, which shares are now directly owned by Mr. Levinsohn.

/s/	Ross Levinsohn	1

Stock⁽¹⁾

1/23/2021 Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.