SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

0.5

F Estimated average burden

hours per response:

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Kraft Andrew Q.</u>		2. Date of Event Requiring Statement (Month/Day/Year) 11/24/2020 3. Issuer Name and Ticker or Trading Symbol theMaven, Inc. [MVEN]						
(Last) (First) (Middle) 225 LIBERTY STREET, 27TH FLOOR			4. Relationship of Rep Issuer (Check all applicable) Director	orting Person(s) 10% Ov	wner	Filed (Month/Day/	Amendment, Date of Original d (Month/Day/Year)	
(Street) NEW YORK NY 1028			X Officer (give title below) Chief Oper	Other (below) rating Officer		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securitie Beneficially Owned (In 4)		irect O direct	I. Nature of Indirect Beneficial Dwnership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
Expi		rcisable and Date /Year)	3. Title and Amount of Securities Underlying Derivative Security (Inst 4)		4. Conversi or Exerci Price of	ise Form:	6. Nature of Indirect Beneficial Ownership (Instr	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivativ Security	ve Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)	
Option to purchase	01/13/2019	12/13/2028	Common Stock	1,000,000 ⁽¹⁾	0.35	D		
Option to purchase	03/31/2019	12/13/2028	Common Stock	400,000 ⁽²⁾	0.35	D		
Option to purchase	12/18/2020(4)	04/10/2029	Common Stock	1,354,193(3)	0.46	D		

Explanation of Responses:

1. Grant vests monthly over 36 months, with adjustment such that 750,000 shares were vested as of 4/21/20 (with continued monthly vesting thereafter)

2. Fully vested as of Form 3 filing date.

3. Grant vests 1/3 after first year. Balance monthly over next 2 years.

4. The ability to exercise is subject to both: i) vesting conditions (3) and ii) the Issuer's stockholders approving a requisite increase in authorized shares of common stock, after the date the grant was issued, that would apply to this grant; such requisite increased was finalized as of December 18, 2020.

<u>/s/ Andrew Q. Kraft</u> ** Signature of Reporting

Person

02/04/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.