SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person[*]	2. Issuer Name **and** Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
Jacobs Joshua	theMaven, Inc. [MVEN]	X Director ☐ 10% Owner
(Last) (First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)	☐ Officer (give title below) ☐ Other (specify below)
225 LIBERTY STREET, 27TH FLOOR	02/20/2018	
(Street)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)
NEW YORK NY 10281		X Form filed by One Reporting Person
(City) (State) (Zip)		☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	02/06/2020		A		62,500	A	$0.00	87,500	D	
Common Stock	01/01/2021		A		83,333	A	$0.00	170,833	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Options to purchase	$1.9	02/20/2018		A		200,000		02/20/2019[(1)]	02/20/2028	Common Stock	200,000	$0.00	200,000	D	
Options to purchase	$1.9	02/20/2018		A		400,000		05/23/2019[(2)]	02/20/2028	Common Stock	400,000	$0.00	400,000	D	
Convertible Debenture	$1.2912	06/15/2018		P		19,362		06/15/2018	06/30/2019	Common Stock	19,362	$25,000	19,362	D	
Convertible Debenture	$1.2912	08/06/2018		I			19,362	06/15/2018	06/30/2019	Common Stock	19,362	$25,000	0	D	
Series H Convertible Preferred Stock	$0.33	08/06/2018		P		30		08/06/2018	(3)	Common Stock	90,909	$1,000	90,909	D	
Options to purchase	$0.5425	09/13/2018		A		1,500,000		10/14/2018	09/14/2028	Common Stock	1,500,000	$0.00	1,500,000	D	

Explanation of Responses:

1. Grant vests 1/3 after first year. Balance monthly over next 2 years

2. 200,000 vest after 12 months (from 5/23/18). 16,667 vest monthly for next 12 months.

3. Not applicable

/s/ Josh Jacobs   01/27/2021

** Signature of Reporting Person   Date